

भारतीय मानक ब्यूरो / Bureau of Indian Standards
(प्रशासन विभाग / Administration Department)

परिपत्र / CIRCULAR

विषय: सरकार के लाभ योजनाओं में आधार का उपयोग - अपवाद प्रबंधन तंत्र | Use of Aadhaar in Benefit Schemes of Government – Exception Handling.

उपभोक्ता मामले विभाग (उ.मा.वि.) ने अपने कार्यालय ज्ञापन सं. जी-11024/2016-पी एंड सी दिनांक 28 अक्टूबर 2018 (28 अगस्त 2018 होना चाहिए) के माध्यम से सचिव, कैबिनेट सचिवालय के डी.ओ. पत्र संख्या डी-26011 / 04/2017-डीबीटी दिनांक 14 अगस्त 2018 की प्रति के साथ कार्यालय ज्ञापन सं.डी -26011 / 04/2017-डीबीटी दिनांक 19 दिसंबर 2017 और यूआईडीएआई के परिपत्र सं. 23011 /जनरल /2014/लीगल-यूआईडीएआई दिनांक 24 अक्टूबर 2017 की प्रतियाँ उपर्युक्त मामले के संबंध में अग्रेषित की हैं। Department of Consumer Affairs (DoCA), vide its Office Memorandum No.G-11024/2016-P&C dated 28 October 2018 (should be 28 August 2018) has forwarded therewith a copy of D.O. letter No. D-26011/04/2017-DBT dated 14 August 2018 of the Secretary, Cabinet Secretariat, alongwith copies of OM No. D-26011/04/2017-DBT dated 19 December 2017 and Circular No. 23011/Gen/2014/Legal-UIDAI dated 24 October 2017 of UIDAI, in connection with the captioned matter.

2. सचिव, कैबिनेट सचिवालय, के उपरोक्त डी.ओ. पत्र दिनांक 14 अगस्त 2018 में आधार अधिनियम, 2016, और समय-समय पर जारी किए गए बाद के नियमों और दिशानिर्देशों के अनुरूप उचित अपवाद प्रबंधन तंत्र लागू करने का अनुरोध किया गया है। यह भी उल्लेख किया गया है कि आधार या प्रमाणीकरण विफलता के कारण वास्तविक लाभार्थी को सेवाओं या लाभ या सब्सिडी के लिए इनकार ना किया जाए। In the aforesaid D.O. letter dated 14 August 2018 of Secretary, Cabinet Secretariat, it is requested to implement proper exception handling mechanism in conformity with the Aadhaar Act, 2016 and subsequent regulations and guidelines issued from time to time. It is also mentioned that the services or benefits or subsidies are not denied to a genuine beneficiary for want of Aadhaar or authentication failure thereto.

3. तदनुसार, इस मामले में उपरोक्त डीओ, कार्यालय ज्ञापन और परिपत्र की प्रतियां, जिसमें विस्तृत निर्देश शामिल हैं, ब्यूरो के सभी कार्यालयों के सूचना और अनुपालन हेतु संलग्न हैं। Accordingly, the copies of aforesaid D.O., Office Memorandum and Circular, containing the detailed instructions in the matter, are enclosed for information and compliance by all the offices of the Bureau.

4. यह ब्यूरो के महानिदेशक की मंजूरी से जारी किया जा रहा है। This issues with the approval of the Director General of the Bureau.

06/09/2018
(कुलविन्दर कुमार चावला)
उप-निदेशक (प्रशासन एवं वित्त)

हमारा संदर्भ: प्रशासन/09/04/2014 (Vol.II)
Our Ref: ADMN/09/04/2014 (Vol.II)

परिचालित: इंटरनेट के माध्यम से ब्यूरो के मुख्यालय में सभी विभागों / क्षेत्रीय कार्यालयों / शाखा कार्यालयों / प्रयोगशालाओं / एन.आई.टी.एस

Circulated to: All Departments of BIS at HQs/ROs/BOs/Labs/NITS through intranet of the Bureau

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Most Immediate

No. G- 11024/02/2016-P&C —
Government of India
Ministry of Consumer Affairs, Food & Public Distribution
(Department of Consumer Affairs)

Krishi Bhavan, New Delhi
Dated, 28th October, 2018

OFFICE MEMORANDUM

✓ **Sub: - Use of Aadhaar in Benefit Schemes of Government - Exception Handling- regarding.**

Please find enclosed D.O. No. D-26011/04/2017-DBT dated 14th August, 2018 received from Secretary (Coordination), Cabinet Secretariat, Rashtrapati Bhavan, New Delhi regarding the captioned subject, for information and compliance.

Encls: As above

(Anand Joshi)
Under Secretary (P&C)
Ph. No. 23381120

To

1. All attached/subordinate offices in the Department of Consumer Affairs.
2. All Divisional Heads in the Department of Consumer Affairs.

आने वाली डक
Incoming Dak
जी.एस.डी. अंक नं. 6313
GSD Dy. No.
दिनांक 07/09/18
Dated

32-88/
5-9-18
DG Dy No.
Date

DDGA Dy. No. 53
Date 6/9/18

1/09/18
DTP

Handwritten signatures and initials: DTP, 07.09.18, and others.

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अरुण गोयल
सचिव (समन्वय)
ARUN GOYAL
Secretary (Coordination)



मंत्रिमण्डल सचिवालय
राष्ट्रपति भवन, नई दिल्ली-110004
CABINET SECRETARIAT
RASHTRAPATI BHAWAN
NEW DELHI-110004

D.O. No. D-26011/04/2017-DBT

Dated: August 14, 2018

Dear Sh. Srivastava,


Aadhaar-based Direct Benefit Transfer (DBT) is a significant governance reform to ensure efficient, transparent and targeted delivery of government subsidies, benefits and services to beneficiaries. Cabinet Secretariat on 19th December, 2017 had issued an Office Memorandum (copy attached), enclosing UIDAI circular dated 24th October 2017, wherein all Ministries/Departments and State Governments were requested to implement proper exception handling mechanism in conformity with the Aadhaar Act 2016 and subsequent regulations & guidelines issued from time to time. The spirit behind this Office Memorandum was to ensure that services or benefits or subsidies are not denied to a genuine beneficiary for want of Aadhaar or authentication failure thereto.

2. It is observed that some Departments have issued required instructions/circulars to their field offices directing them to ensure proper observance of exception handling mechanism. In case, no such instructions/circulars have been issued by your Ministry/Department to the field offices, the same may be got issued at the earliest and a copy of the same may be shared with DBT Mission Office.

With best regards,

Secy. (CA)
Dy. No. P-109359
Date 21/8/2018

Pl. circulate
As

Yours sincerely,

(Arun Goyal)

Shri Avinash K. Srivastava
Secretary
Department of Consumer Affairs
Krishi Bhavan, New Delhi-110001

JS (AB)

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No. D-26011/04/2017-DBT
 Government of India
 Cabinet Secretariat
 (DBT Mission)

Dated: 19th December 2017

Office Memorandum

Subject: Use of Aadhaar in Benefit Schemes of Government - Exception Handling - Regarding.

Aadhaar based DBT is a significant governance reform to ensure greater transparency and accountability in public service delivery through effective use of technology. Aadhaar as an identity proof obviates the need for producing multiple documents for proving one's identity, thereby simplifying procedures and eliminating fake/ ghost beneficiaries through de-duplication.

2. However, Government is sensitive to the fact that the Aadhaar enrolment process has not been completed and infrastructure constraints may pose difficulty in online authentication. To ensure that bona fide beneficiaries are not deprived of their due benefits, sufficient provisions have been made in the Aadhaar Act, 2016. UIDAI has also issued regulations to handle exceptions, ensuring that no beneficiary is denied benefits for want of Aadhaar, vide circular dated 24th October, 2017 (*copy enclosed for ready reference*). In accordance with the guidelines issued by UIDAI from time to time, the following may be considered:

A. For extending benefits to beneficiaries who do not possess Aadhaar, the following mechanism may be adopted:


- i. The beneficiary shall be provided subsidy, benefit or service based on alternate identification document as notified in the relevant notifications issued under the provisions of Section 7 of the Aadhaar Act, 2016.
- ii. Efforts should be made to ensure that all such beneficiaries are facilitated for enrolment under Aadhaar. The concerned Department through its Implementing Agencies may offer Aadhaar enrolment facilities for such beneficiaries at convenient locations through centres in the respective Block/ Taluka/ Tehsil (including through Post Offices, Banks, ICDS Centres etc).
- iii. As per regulation 12 of Aadhaar (Enrolment and Update) Regulations, 2016, the State Government/ Implementing Agencies should also make special arrangements for bed ridden, differently-abled, or senior citizens, who are unable to visit the registration centre(s), to get them enrolled for Aadhaar.
- iv. Till such time Aadhaar is assigned to a beneficiary, a separate register, preferably electronic, shall be maintained for recording such transactions, whenever the beneficiary is provided benefits/ services on the basis of alternative identification documents. This register may be periodically reviewed and audited.

B. In all such cases where Aadhaar authentication fails, the following mechanism may be adopted:

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- i. Departments and Bank Branches may make provisions for IRIS scanners along with fingerprint scanners, wherever feasible.
 - ii. In cases of failure due to lack of connectivity, offline authentication system such as QR code based coupons, Mobile based OTP or TOTP may be explored.
 - iii. In all cases where online authentication is not feasible, the benefit/ service may be provided on the basis of possession of Aadhaar, after duly recording the transaction in register, to be reviewed and audited periodically.
3. In view of above, DBT implementing Ministries/ Departments and State Governments are requested to implement proper exception handling mechanism in conformity with the Aadhaar Act 2016 and subsequent regulations and guidelines issued from time to time. A robust mechanism for ensuring their compliance and its periodic monitoring may also be put in place.

Enclosure: As above



(Arun Sharma)
Director (DBT)

Tel - (011) 23343860 Ext: 318

To:

1. Secretaries to all Ministries/ Departments of Government of India
2. Chief Secretaries of all States/ Administrators of all UTs
3. CEO, UIDAI

Copy to:

1. Coordinators, DBT Cells in all Ministries / Departments
2. Coordinators, DBT Cells in all States / UTs.

NOO:

1. AS (TB), PMO
2. SO to CS / Sr. PPS to Addl. Secretary (Coordination) / JS (AG) / JS (DBT)

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डा० अजय भूषण पांडे, भा.प्र.से.
मुख्य कार्यकारी अधिकारी
Dr. Ajay Bhushan Pandey, IAS
Chief Executive Officer



भारत सरकार
Government of India
भारतीय विशिष्ट पहचान प्राधिकरण
Unique Identification Authority of India (UIDAI)
तीसरी मंजिल, टॉवर II, जीवन भारती भवन,
कनॉट सर्कस, नई दिल्ली-110001
3rd Floor, Tower II, Jeevan Bharati Building,
Connaught Circus, New Delhi-110001

No. 23011/Gen/2014/Legal-UIDAI

24th October, 2017

Circular

Subject: Exception handling in Public Distribution Services and other welfare Schemes

Section 7 of the Aadhaar (Targeted Delivery of Financial and Other Subsidies, Benefits and Services) Act, 2016 provides that:

“The Central Government or, as the case may be, the State Government may, for the purpose of establishing identity of an individual as a condition for receipt of a subsidy, benefit or service for which the expenditure is incurred from, or the receipt therefrom forms part of, the Consolidated Fund of India, require that such individual undergo authentication, or furnish proof of possession of Aadhaar number or in the case of an individual to whom no Aadhaar number has been assigned, such individual makes an application for enrolment:

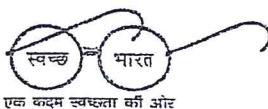
Provided that if an Aadhaar number is not assigned to an individual, the individual shall be offered alternate and viable means of identification for delivery of the subsidy, benefit or service.”

2. Various Ministries/Departments have issued notification under Section 7 of Aadhaar Act to require Aadhaar / Aadhaar authentication for delivery of various benefits, subsidies or service for which the expenditure is incurred from, or the receipt therefrom forms part of the Consolidated Fund of India.

3. It has come to notice that some beneficiaries are being denied the benefit, subsidy or service for various reasons such as not having Aadhaar; failure of authentication; and other extraneous circumstances like electricity outage, internet connectivity issues etc despite above provisions of Aadhaar Act and other adequate mechanisms to handle such exceptions already provided in the Regulations and notifications issued under Section 7.

4. Therefore, the following exception handling mechanism and back-up identity authentication mechanisms may be followed for implementation to ensure seamless delivery of subsidy, benefit or service to beneficiary:

- a. Till the time Aadhaar is assigned to a beneficiary, he/she shall be provided subsidy, benefit or service based on alternate identification document as notified by the Ministry/Department in the relevant notification issued under the provision of Section 7 of the Aadhaar Act, 2016. The notifications also give powers to both Central Ministry and State Governments (as the case may be) to add more alternate documents depending on local conditions.



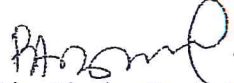
एक कदम स्वच्छता की ओर

Tel.: 23752675 Fax : 23752679
Website: www.uidai.gov.in email: uidai@uidai.gov.in



मेरा आधार, मेरी पहचान

- b. In case of failure of Biometric authentication due to network/connectivity issue or due to poor biometric of resident etc, he/she shall be provided subsidy, benefit or service based on possession of Aadhaar by him/her as provided in Section 7 of the Aadhaar Act, 2016 and the notification.
 - c. In case of a family based scheme, such as PDS, an option shall be provided that any member of the family can authenticate and receive the benefit, as notified by the Ministry/Department in the relevant notification issued under the provision of Section 7 of the Aadhaar Act, 2016. This flexibility should be used for ensuring delivery of benefit in case biometric authentication for a member (senior) fails.
 - d. The State Governments/Implementing agency should also make special arrangements for bed ridden senior residents to get them verified/ authenticated including but not limited to sending a village level worker to their home for this purpose.
 - e. All such exception handling shall be recorded in the system and steps be taken to avoid any misuse of the exception. The front end service provider shall also maintain record of exception such as copy of Aadhaar letter, signature/thumbprint of the beneficiary and other supporting documents as notified by the Ministry/Department.
 - f. The Ministry/Department shall devise and implement mechanism for audit and inspection of such exceptions.
5. The Ministries/Department are requested to issue appropriate directions to the State Governments/Implementing agencies for the above exception handling mechanism and also monitor the same on periodical basis.


(Dr. Ajay Bhushan Pandey)
Chief Executive Officer

To
All Ministries/Departments
All State Governments